

08-27-01

A

Please type a plus sign (+) inside this box



PTO/SB/O1 (12-97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

08/23/01

JC962 U.S. PTO

11/17 U.S. PTO
09/938950

08/23/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2102885-991100

First Inventor GLOVER, Eiland, et al.

Title CUSTOMER AWARD AND INCENTIVE SYSTEM

Express Mail Label No. EL 904925385 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS
TO:**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 13]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. ☐ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies; or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
16. ☐
17. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: --- Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


18. CORRESPONDENCE ADDRESS

Please type a plus sign (+) inside this box



PTO/SB/05 (08-00)
Approved for use through 10/31/2002 OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<input type="checkbox"/> Customer Number or Bar Code Label		<div style="border: 1px dashed black; height: 20px; width: 150px;"></div> <small>(Insert Customer No. or Attach bar code label here)</small>		<input type="checkbox"/> Correspondence address below	
Name	Eric N. Hoover (Reg. No. 37, 355)				
Address	Gray Cary Ware & Freidenrich 1755 Embarcadero Road				
City	Palo Alto	State	CA	Zip Code	94303
Country	US	Telephone	650/320-7478	Fax	650/320-7401

NAME (Print/Type)	Eric N. Hoover	Registration No. (Attorney/Agent)	37,355
Signature		Date	8/23/01 August 23, 2001

Express Mail Label Number: **EL 904925385 US**

I, Yvette Renee Killingham, hereby certify that this Utility Patent Application is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Utility Patent Application, Commissioner of Patents & Trademarks; Washington, DC 20231.

Date _____ Signature _____

YVETTE RENEE KILLINGHAM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Perquia, Inc.

Serial No.: Not Assigned

Group Art Unit: Not Assigned

Filed: August 23, 2001

Examiner: Not Assigned

Title: **CUSTOMER AWARD AND INCENTIVE SYSTEM****Express Mail Label Number: EL 904925385 US**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

9/23/01
August 23, 2001

Yvette Renee Killingham
Yvette Renee Killingham

* * *

TRANSMITTAL LETTER

Commissioner for Patents
Box Utility Patent Application
Washington, D.C. 20231

Sir:

Enclosed herewith are the following documents regarding the above-captioned patent application.

- 1) Utility Patent Application Transmittal (2 pgs);
- 2) Transmittal Letter (2 pgs);
- 3) Specification, Claims, and Abstract (13 pgs);
- 4) 8 Sheets of Informal Drawings;
- 5) Fee Transmittal (1 pg);
- 6) Check #487209 in the amount of \$355.00;
- 7) Certificate of Mailing by "Express Mail" (37 CFR 1.10); and

8) Return Postcard.

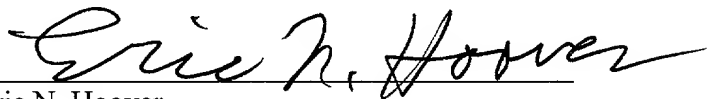
The Commissioner is hereby authorized to charge any fees that may be required by this paper to Deposit Account No. 07-1896.

All future correspondence should be addressed to:

GRAY CARY WARE & FREIDENRICH
Attn: Eric N. Hoover, Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303-3340

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP



Eric N. Hoover
Attorney for Applicant
Reg. No.: 37,355

Date: August 23, 2001

GRAY CARY WARE FREIDENRICH
Attn: Patent Department
1755 Embarcadero Road, Palo Alto, CA 94303
Telephone: (650) 320-7400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	GLOVER, Eiland, et al.
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	2102885-991100

TOTAL AMOUNT OF PAYMENT (\$) 355.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-1896**

Deposit Account Name

☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$) 355.00

2. EXTRA CLAIM FEES

Total Claims **10** -** = ☐ X ☐ = ☐ 0

Independent Claims **2** -* = ☐ X ☐ = ☐ 0

Multiple Dependent ☐ X ☐ = ☐ 0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	0
102	80	202	40	Independent claims in excess of 3	0
104	270	204	135	Multiple dependent claim, if not paid	0
109	80	209	40	** Reissue independent claims over original patent	0
				** Reissue claims in excess of 20 and over original patent	0
110	18	210	9		
SUBTOTAL (2)					(\$) 0

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	11	0240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Sheets	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 355.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) **Eric N. Hoover** Registration No (Attorney/Agent) **37,355** Telephone **650-320-7478**

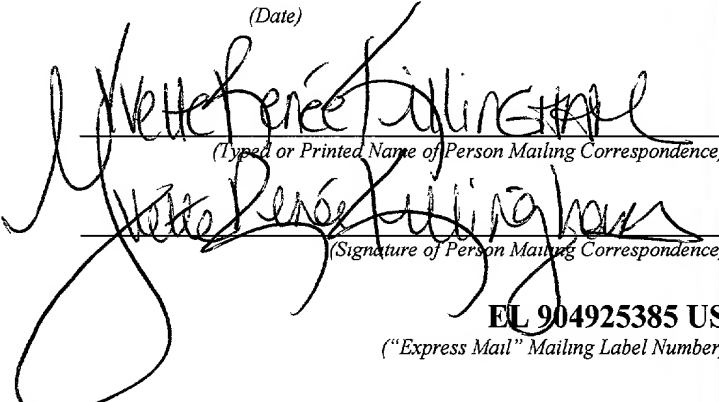
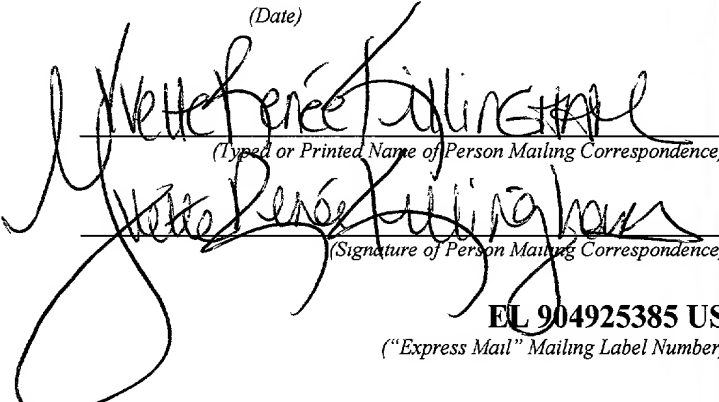
Signature **Eric Hoover** Date **8/23/01**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Gray Cary/EM7085839 1
2102885-991100

2001 AUG 23 09:56:50

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 2102885.991100
Applicant: Perquia, Inc.			
Serial No. Not Yet Assigned	Filing Date Herewith	Examiner N/A	Group Art Unit N/A
Invention: CUSTOMER AWARD AND INCENTIVE SYSTEM			
<p>I hereby certify that this <u>UTILITY PATENT APPLICATION</u> <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Express Mail Label Number, under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Box Utility Patent Application, Washington, D.C., 20231, on August 23, 2001.</p> <p style="text-align: right;"><small>(Date)</small></p> <p style="text-align: right;"> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p style="text-align: right;"> <small>(Signature of Person Mailing Correspondence)</small></p> <p style="text-align: right;">EL 904925385 US <small>("Express Mail" Mailing Label Number)</small></p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			